

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
9279.87

In Re Application Of: **Michael James Pratt et al.**

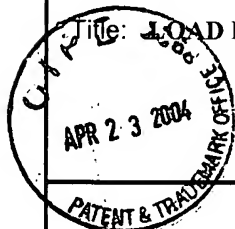
Serial No.  
10/757,062

Filing Date  
January 14, 2004

Examiner  
Unknown

Group Art Unit  
Unknown

Title: **LOAD BEARING SHOULDER FRAME ASSEMBLY**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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APR 23 2004

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LOAD BEARING SHOULDER FRAME ASSEMBLY

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **500843** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 20, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Certificate

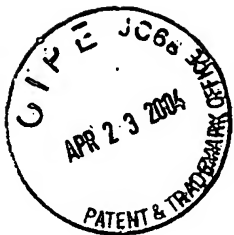
\*This certificate may only be used if paying by deposit account.

Signature

Dated: April 20, 2004

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cc:



PATENT APPLICATION  
Docket No: 9279.87

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Michael James Pratt et al.	)
		)
Serial No.:	10/757,062	) Art Unit
		) Unknown
Confirmation No.:	Unknown	)
		)
Filed:	January 14, 2004	)
		)
For:	LOAD BEARING SHOULDER	)
	FRAME ASSEMBLY	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop: DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be “prior art” within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

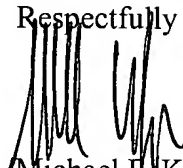
believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Pursuant to 37 C.F.R. § 1.491(b), since this application was filed after June 30, 2003, copies of all U.S. patents and U.S. patent application publications listed on the Form PTO-1449 are not being submitted.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 20 day of April 2004.

Respectfully submitted,



Michael H. Krieger  
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

9279.87

SERIAL NO.

10/757,062

Michael James Pratt et al.

FILING

January 14, 2004

GROUP

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,152,343	11/28/00	Shin	224	645	10/15/98
	2	5,088,728	02/18/92	Deden	272	143	04/17/90
	3	5,009,349	04/23/91	Eide et al.	224	260	07/06/89
	4	4,799,610	01/24/89	Hsieh	224	266	03/14/88
	5	4,280,645	07/28/81	Goodden	224	259	01/31/80
	6	3,679,107	07/25/72	Perrine	224	5 P	12/28/70
	7	2,760,699	08/28/56	Rivers-Macpherson	224	5	05/03/54
	8	2,718,988	09/27/55	Potts	224	5	02/06/51
	9	2003/0121942	07/03/03	Chang	224	260	12/28/01
	10	2002/0088836	07/11/02	Batten et al.	224	645	01/10/01
	11	2002/0008125	01/24/02	Caputi	224	257	02/28/01

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		None						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			None

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.